

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 7-2005)

ATTORNEY'S DOCKET NUMBER

RLL-299US

10/533246

U.S. APPLICATION NO.-(If known, see 37 CFR 1.5)

10/533,246

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371INTERNATIONAL APPLICATION NO.
PCT/IB2003/004845INTERNATIONAL FILING DATE
30 October 2003PRIORITY DATE CLAIMED
31 October 2002

TITLE OF INVENTION

AMORPHOUS MOXIFLOXACIN HYDROCHLORIDE

APPLICANT(S) FOR DO/EO/US

BISWAS et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV 483375852 US

FEB 21 2006

Page 1 of 3

U.S. APPLICATION NO (if known, see 37 CFR 1.5) <p style="text-align: center;">10/533,246</p>	INTERNATIONAL APPLICATION NO. <p style="text-align: center;">PCT/IB2003/004845</p>	ATTORNEY'S DOCKET NUMBER <p style="text-align: center;">RLL-299US</p>																																																					
23. Other items or information: Certificate of Express Mailing Copy of Notification of Missing Requirements Under 35 USC 371 in the US Designated/Elected Office Transmittal of Information Disclosure Statement Petition for Extension of Time Under 37 CFR 1.136(a)																																																							
The following fees have been submitted: 24. <input type="checkbox"/> Basic national fee \$300 25. <input type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article \$0 All other situations. \$200 26. <input type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). . . \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. \$100 International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. \$400 All other situations. \$500 TOTAL OF 24, 25 and 26 = <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%;">Total Sheets</td> <td style="width: 15%;">Extra Sheets</td> <td style="width: 35%;">Number of each additional 50 or fraction thereof (round up to a whole)</td> <td style="width: 15%;">RATE</td> </tr> <tr> <td>- 100 =</td> <td>0 /50 =</td> <td>0</td> <td>x \$250.00</td> </tr> </table> Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%;">CLAIMS</td> <td style="width: 15%;">NUMBER FILED</td> <td style="width: 15%;">NUMBER EXTRA</td> <td style="width: 15%;">RATE</td> </tr> <tr> <td>Total claims</td> <td>- 20 =</td> <td>0</td> <td>x \$50.00</td> </tr> <tr> <td>Independent claims</td> <td>- 3 =</td> <td>0</td> <td>x \$200.00</td> </tr> </table> MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00 TOTAL OF ABOVE CALCULATIONS = <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + TOTAL FEES ENCLOSED =		Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE	- 100 =	0 /50 =	0	x \$250.00	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	- 20 =	0	x \$50.00	Independent claims	- 3 =	0	x \$200.00	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">CALCULATIONS</th> <th style="width: 50%;">PTO USE</th> </tr> <tr> <td>\$ \$0.00</td> <td></td> </tr> <tr> <td>\$ \$0.00</td> <td></td> </tr> <tr> <td>\$ \$0.00</td> <td></td> </tr> <tr> <td>\$ \$0.00</td> <td></td> </tr> <tr> <td>\$ \$0.00</td> <td></td> </tr> <tr> <td>\$ \$0.00</td> <td></td> </tr> <tr> <td>\$ \$130.00</td> <td></td> </tr> <tr> <td>\$ \$0.00</td> <td></td> </tr> <tr> <td>\$ \$130.00</td> <td></td> </tr> <tr> <td>\$ \$0.00</td> <td></td> </tr> <tr> <td>\$ \$130.00</td> <td></td> </tr> <tr> <td>\$ \$0.00</td> <td></td> </tr> <tr> <td>\$ \$130.00</td> <td></td> </tr> <tr> <td>\$ \$0.00</td> <td></td> </tr> <tr> <td>\$ \$130.00</td> <td></td> </tr> </table>		CALCULATIONS	PTO USE	\$ \$0.00		\$ \$0.00		\$ \$0.00		\$ \$0.00		\$ \$0.00		\$ \$0.00		\$ \$130.00		\$ \$0.00		\$ \$130.00		\$ \$0.00		\$ \$130.00		\$ \$0.00		\$ \$130.00		\$ \$0.00		\$ \$130.00	
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 50-0912 in the amount of \$ \$130.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0912. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Jayadeep R. Deshmukh
Reg. No. 34,507
Customer No. 026815

William D. Hare
SIGNATURE

William D. Hare

NAME

44,739

REGISTRATION NUMBER

16 February 2006

DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): BISWAS *et al.*
 International Application No.: PCT/IB2003/004845
 International Filing Date: 30 October 2003
 Title: AMORPHOUS MOXIFLOXACIN HYDROCHLORIDE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that the following correspondence:

- Transmittal Letter to the US DO/EO Concerning a Filing Under 35 USC 371
- Executed Combined Declaration and Power of Attorney
- Petition for Extension of Time Under 37 CFR 1.136(a)
- Transmittal of Information Disclosure Statement
- Information Disclosure Citation w/ref.
- Copy of Notification of Missing Requirements Under 35 USC in the United States Designated/Elected Office
- Postcard

07/26/2006 WALVARAD 00000002 500912 10533246
 Sale Ref: 00000002 DAW: 500912 10533246

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 16 February 2006.

Donna Collier

(Name of Person Mailing Correspondence)



(Signature)

EV 483375852 US

(Express Mail Mailing Label Number)

27



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

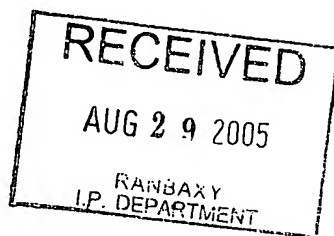
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/533,246	Sujay Biswas	RLL-299US

26815
 RANBAXY INC.
 600 COLLEGE ROAD EAST
 SUITE 2100
 PRINCETON, NJ 08540

INTERNATIONAL APPLICATION NO.

PCT/IB03/04845

I.A. FILING DATE	PRIORITY DATE
10/30/2003	10/31/2002



CONFIRMATION NO. 8887
 371 FORMALITIES LETTER
 OC000000016831671
 OC000000016831671

Date Mailed: 08/25/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/29/2005
- Copy of the International Search Report filed on 04/29/2005
- Copy of IPE Report filed on 04/29/2005
- Oath or Declaration filed on 04/29/2005
- U.S. Basic National Fees filed on 04/29/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.



ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/533,246	PCT/IB03/04845	RLL-299US

FORM PCT/DO/EO/905 (371 Formalities Notice)